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06-1103  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Louis J. Barbato  
Appl. No. : 09/909,357  
Filing Date : July 18, 2001  
Title : ELECTRONICS INTERFACE FOR AN ULTRASOUND CONSOLE  
Group Art Unit : 2877  
Examiner : Not assigned  
Docket No. : 701470.12 (formerly 263/071)

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Supplemental Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are included for the Examiner's convenience.

Item "AE" in this IDS is a European patent and is in German. However, item "AD" is the U.S. counterpart to the European application and, although not included in the below-referenced foreign search report, is provided for the purpose of translation of the technology.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

**CERTIFICATE OF MAILING (37 CFR § 1.8)**

I hereby certify that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as First Class Mail on the date shown below in an envelope addressed to Commissioner for Patents, Washington, DC 20231.

Date of Deposit: April 7, 2003

Micheal A. Smith

Applicant : Louis J. Barbato  
Appl. No. : 09/909,357  
Examiner : Not Assigned  
Docket No. : 701470.12

**INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

Applicant : Louis J. Barbato  
Appl. No. : 09/909,357  
Examiner : Not Assigned  
Docket No. : 701470.12

**STATEMENT UNDER 37 CFR § 1.97(e):**

☒ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).

☐ Please charge \_\_\_\_\_ to Deposit Account No. **15-0665** for the above fee(s).

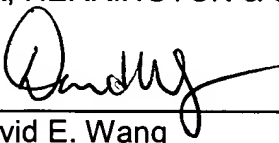
The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Orrick, Herrington & Sutcliffe's Deposit Account No. **15-0665**.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: April 7, 2003

By:



David E. Wang  
Reg. No. 38,358

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FORM PTO-1449

ATTY. DOCKET  
701470.12SERIAL NO.  
09/909,357

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**APPLICANTS:**  
Louis J. Barbato

**FILING DATE:**  
July 18, 2001

**GROUP:**  
2877

**APR 10 2003** (Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,523,788	06/04/1996	Kannegundia et al.	348	321	09/27/1994
	AB	5,538,004	07/23/1996	Bamber	128	662.06	02/28/1995
	AC	5,588,434	12/31/1996	Fujimoto	128	660.07	09/29/1995
	AD	6,390,978 B1	05/21/2002	Irion et al.	600	437	09/29/2000

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	AE	EP 1 090 582 A1	11/04/2001	Europe	A61B5	00		

DOCSOC1:136043.1 EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant